

US EPA ARCHIVE DOCUMENT



2012 Program Implementation Summary

National Environmental Performance Partnership System (NEPPS)

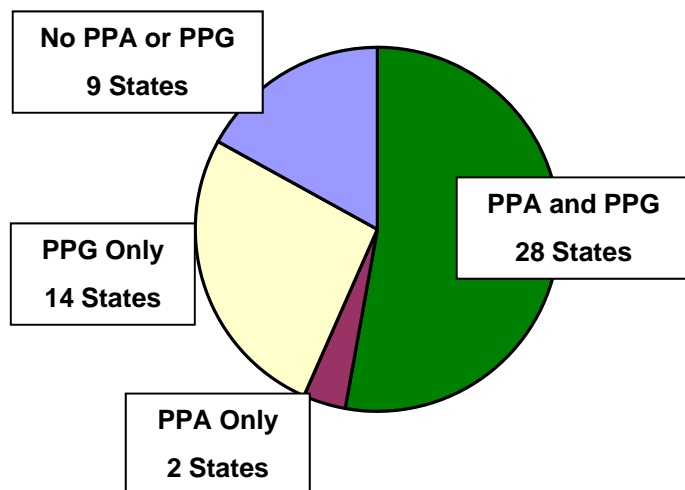
Office of Congressional and
Intergovernmental Relations



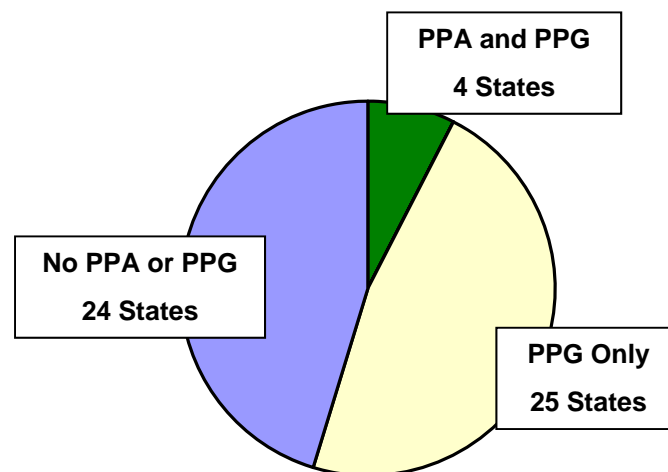
Use of Performance Partnership Tools (FY2012)

Performance Partnership Agreements (PPAs) and Performance Partnership Grants (PPGs)

State Environmental Agencies



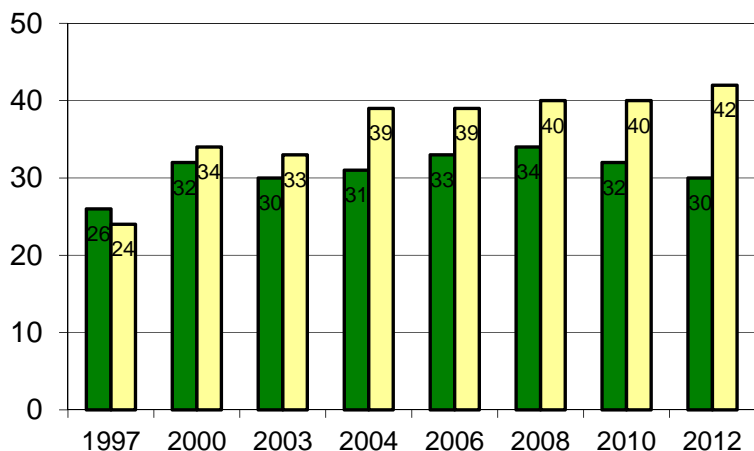
State Agriculture Agencies



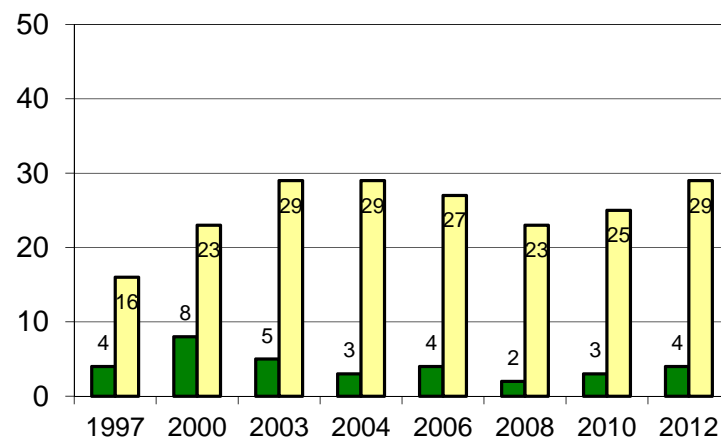


Trends in Performance Partnerships

State Environmental Agencies



State Agriculture Agencies



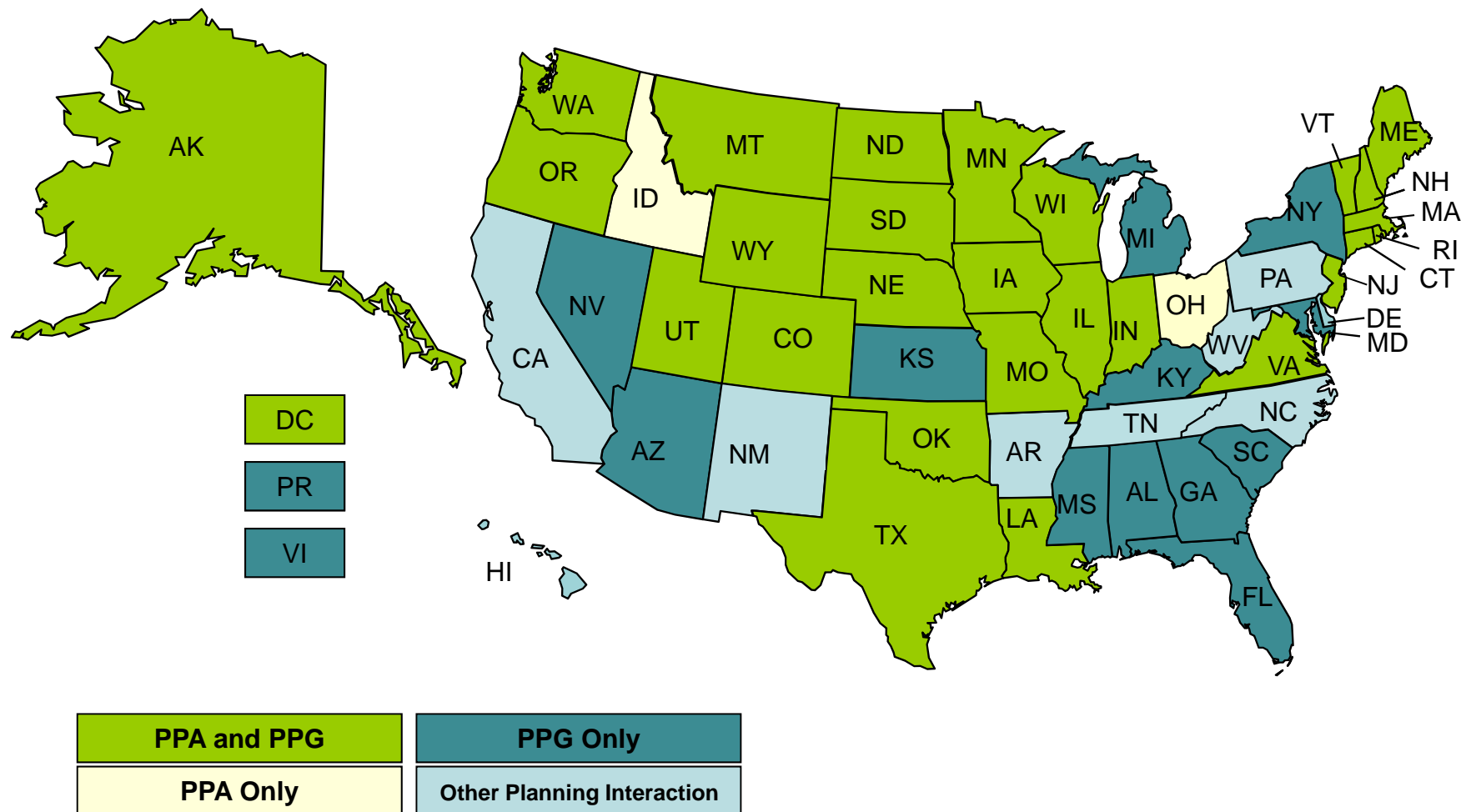
Performance Partnership Agreements (PPAs)

Performance Partnership Grants (PPGs)



Use of Performance Partnership Tools State Environmental Agencies (FY2012)

Performance Partnership Agreements (PPAs) and Performance Partnership Grants (PPGs)

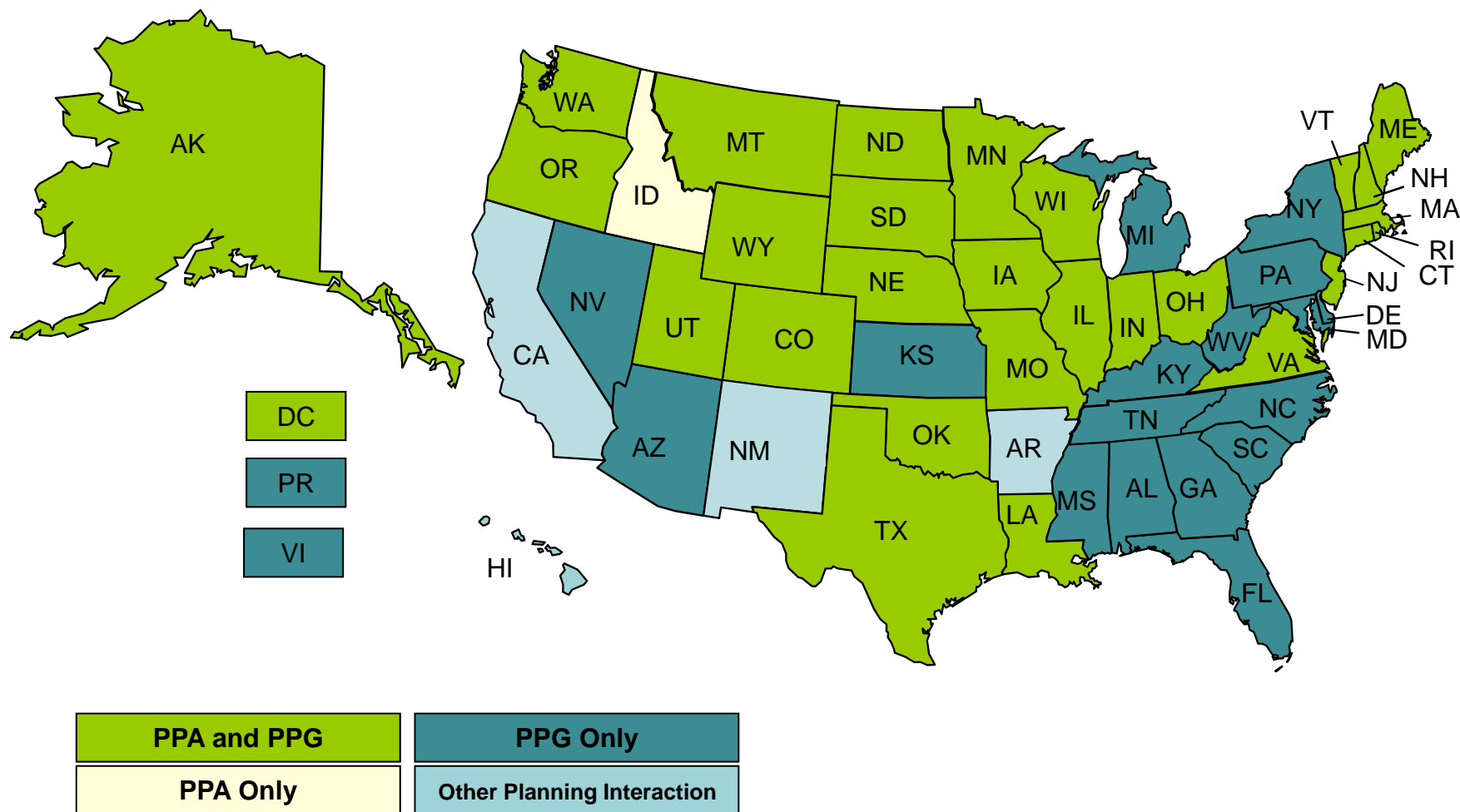




Use of Performance Partnership Tools

State Environmental & Agriculture Agencies Combined (FY2012)

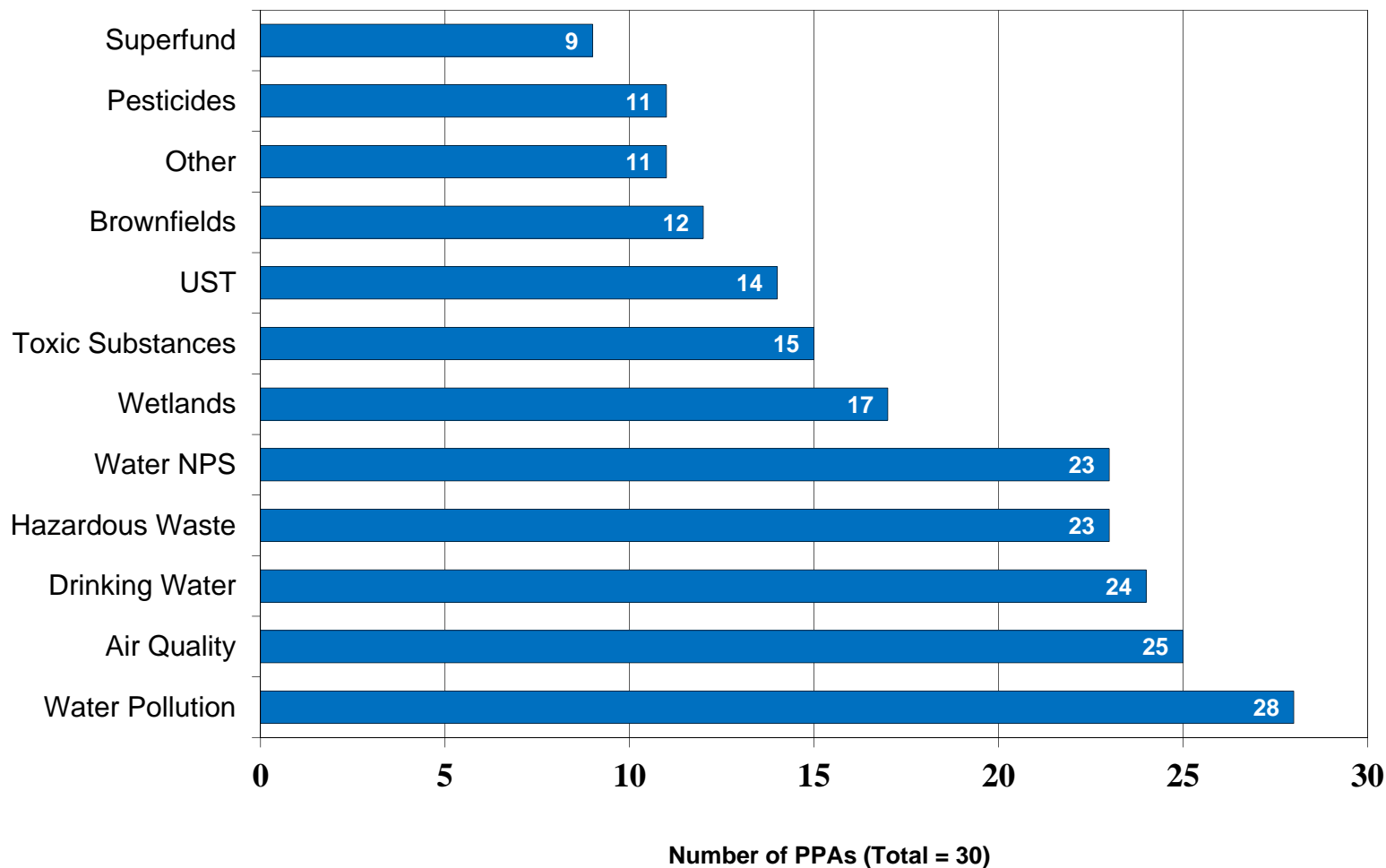
Performance Partnership Agreements (PPAs) and Performance Partnership Grants (PPGs)







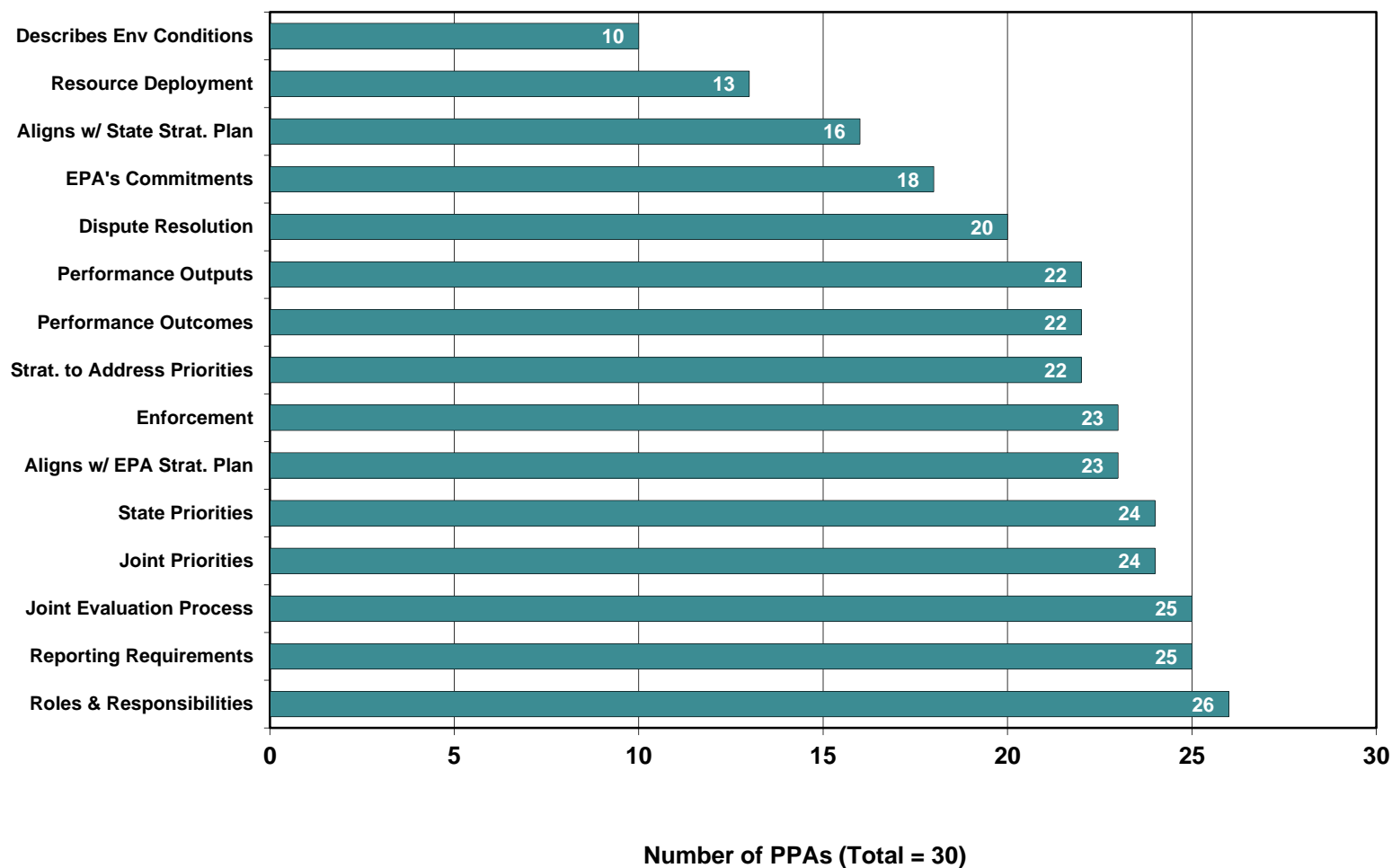
Program Areas Covered in PPAs State Environmental Agencies (FY2012)





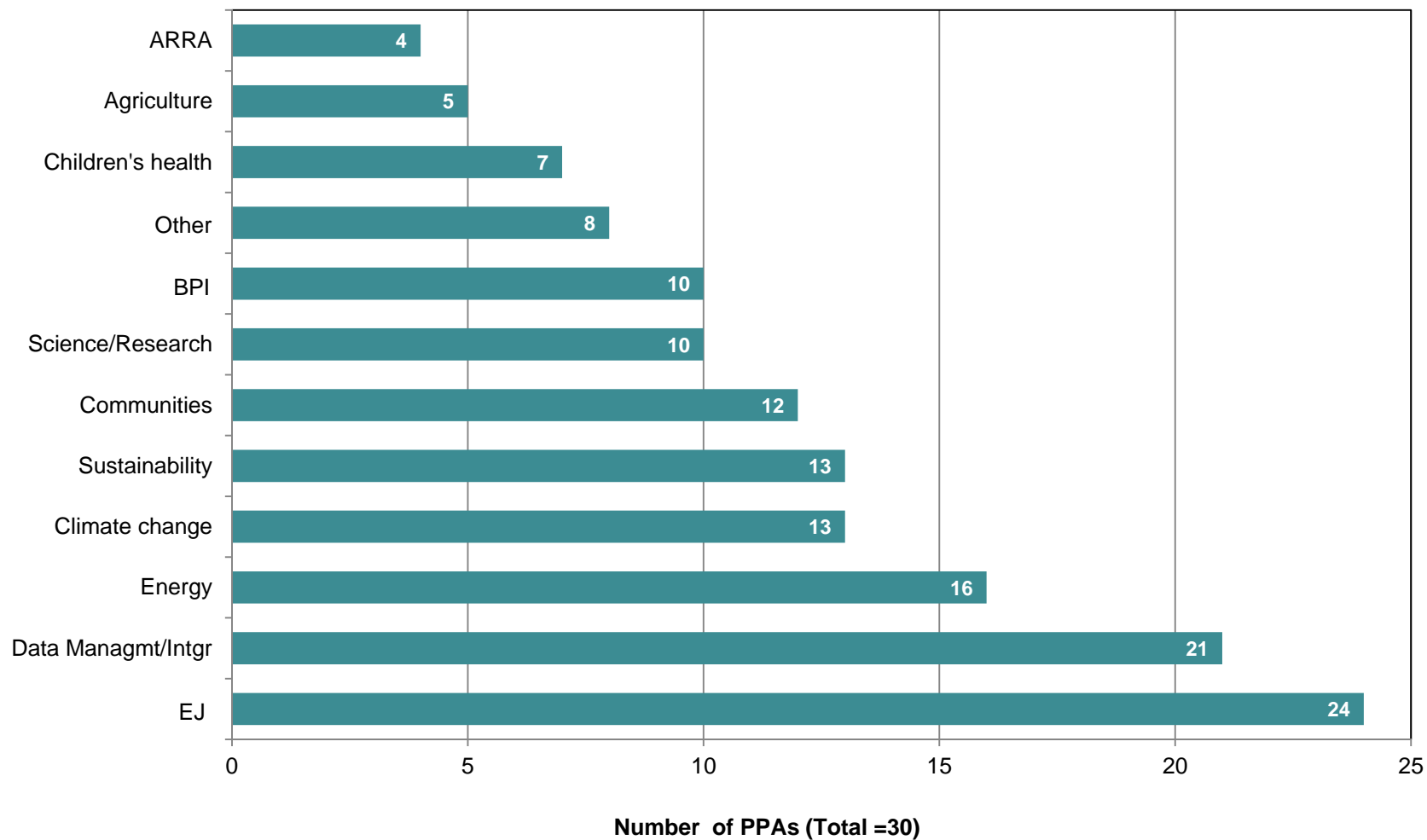
Attributes of PPAs

State Environmental Agencies (FY2012)





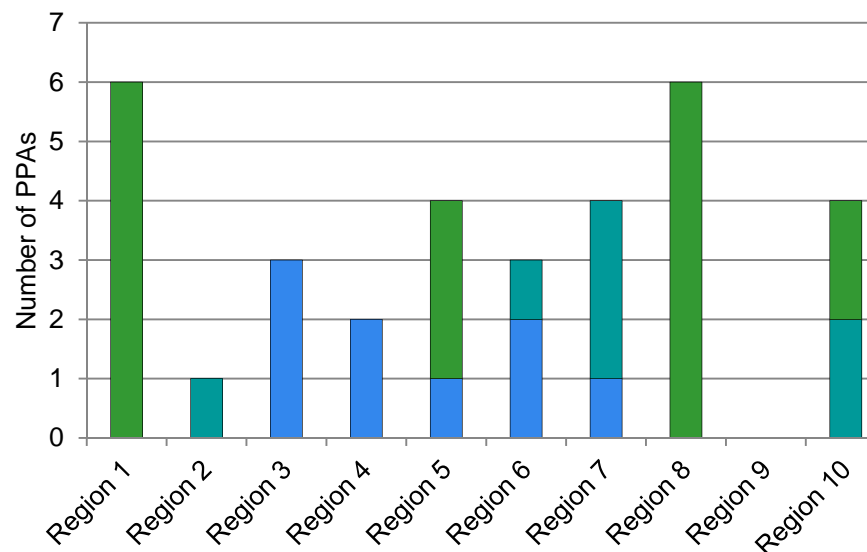
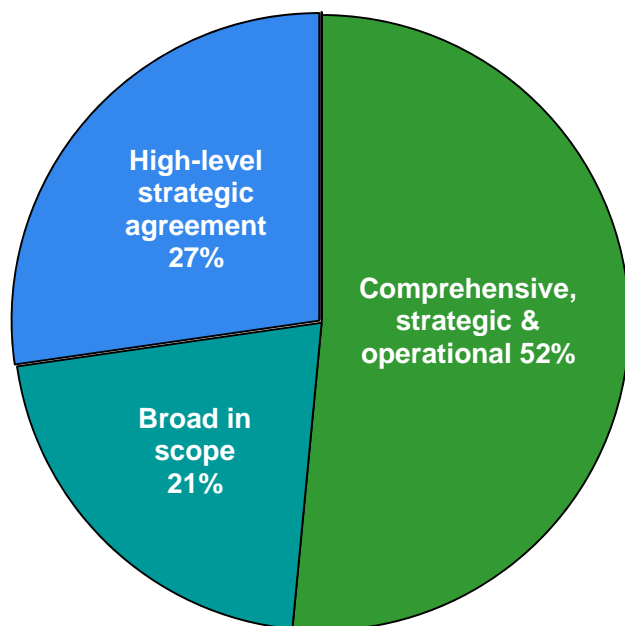
Topics Covered in PPAs State Environmental Agencies (FY2012)





PPAs by Type

State Environmental Agencies (FY2010)



Comprehensive, strategic and operational -- Covers all major program areas. Generally linked to grant resources and provides for administrative and/or programmatic flexibility; serves as the PPG and/or categorical grant work plan. Includes: description of environmental conditions; state's priorities and strategies and alignment with EPA's Strategic Plan roles and responsibilities of each partner; performance measures and reporting requirements; and compliance and enforcement provisions.

Broad in scope -- Lists the state's strategic priorities (e.g., air, water, resource conservation, toxics, emergency response). May be linked to grant resources and serve as the PPG work plan. Also may include: description of environmental conditions strategies for addressing priorities and alignment with EPA's Strategic Plan; roles and responsibilities of each partner; and compliance and enforcement provisions.

High-level strategic agreement that is limited -- Generally not linked to grant resources and does not serve as the PPG workplan. Includes: state's strategic priorities (e.g., air, water, resource conservation, toxics, emergency response, etc.); may include compliance and enforcement (but not typical).



Compliance and Enforcement Provisions in PPAs*

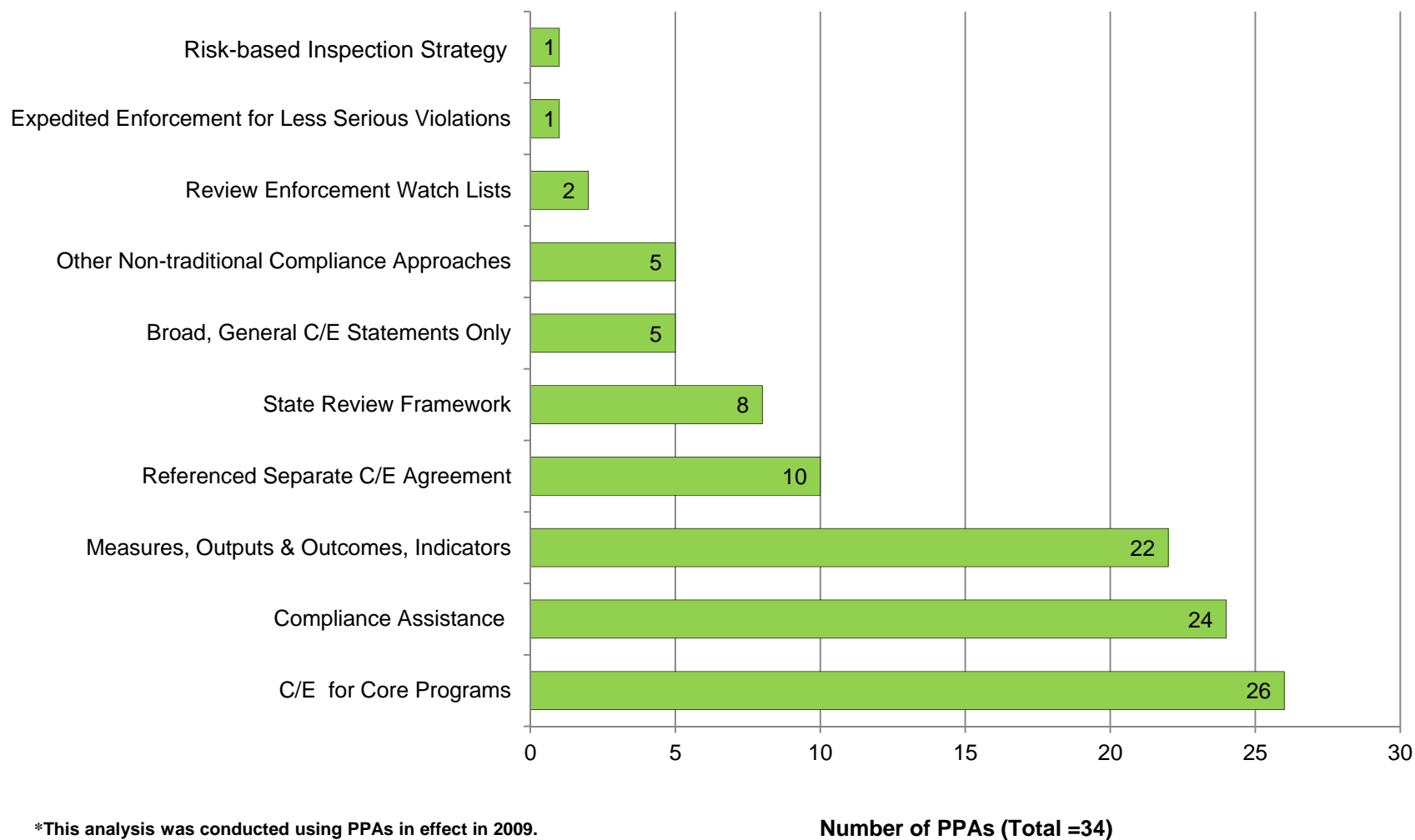
State Environmental Agencies

- 31 of 34 PPAs reference enforcement or contain specific compliance and enforcement (C/E) components.
- PPAs, in varying degrees, are used to:
 1. Articulate federal/state roles and responsibilities;
 2. Highlight recommended actions as follow-up to State Review Framework reviews;
 3. Track enforcement performance through a combination of measures, indicators, reporting requirements, outputs/outcomes;
 4. Monitor compliance through inspections; and
 5. Provide education, outreach, technical and compliance assistance.

*This analysis was conducted using PPAs in effect in 2009.

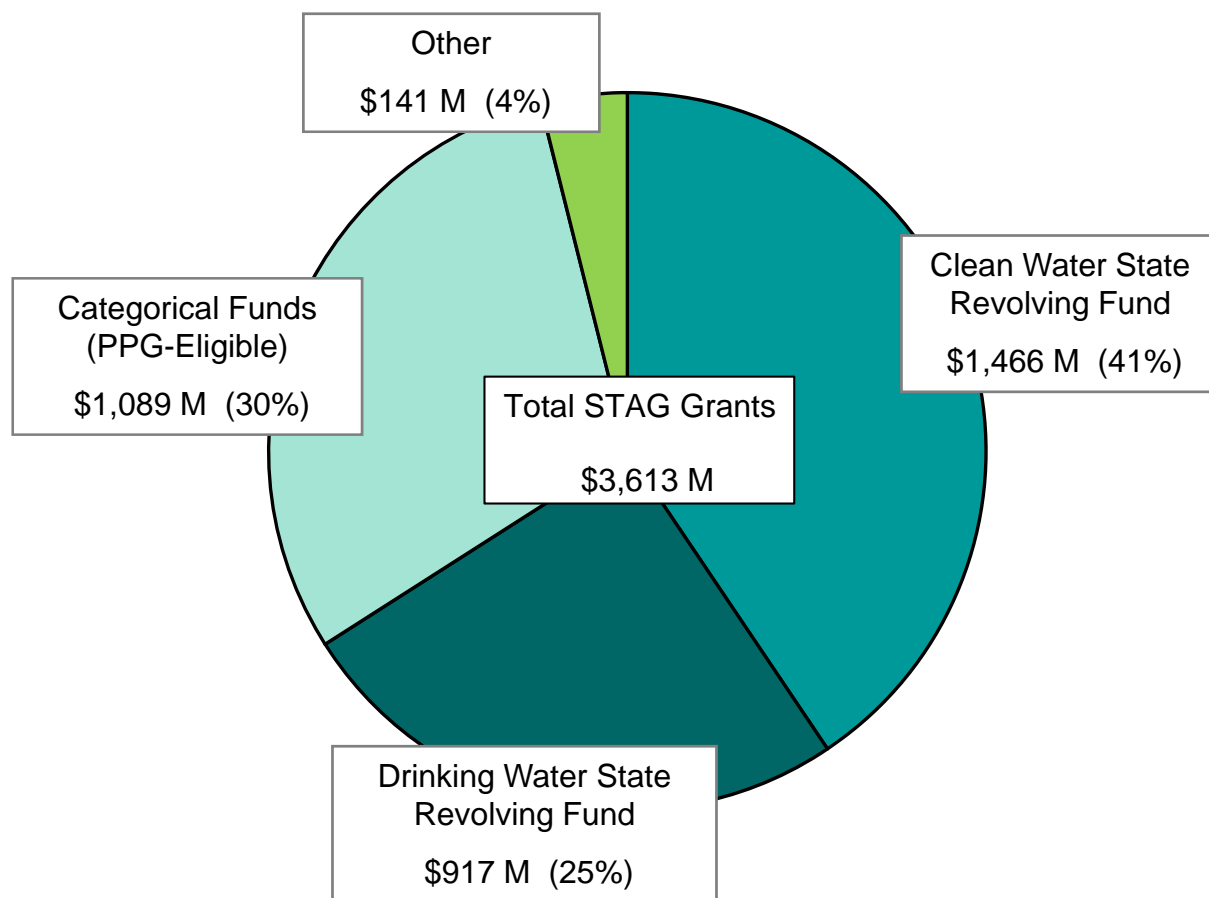


Compliance and Enforcement Provisions in PPAs* State Environmental Agencies



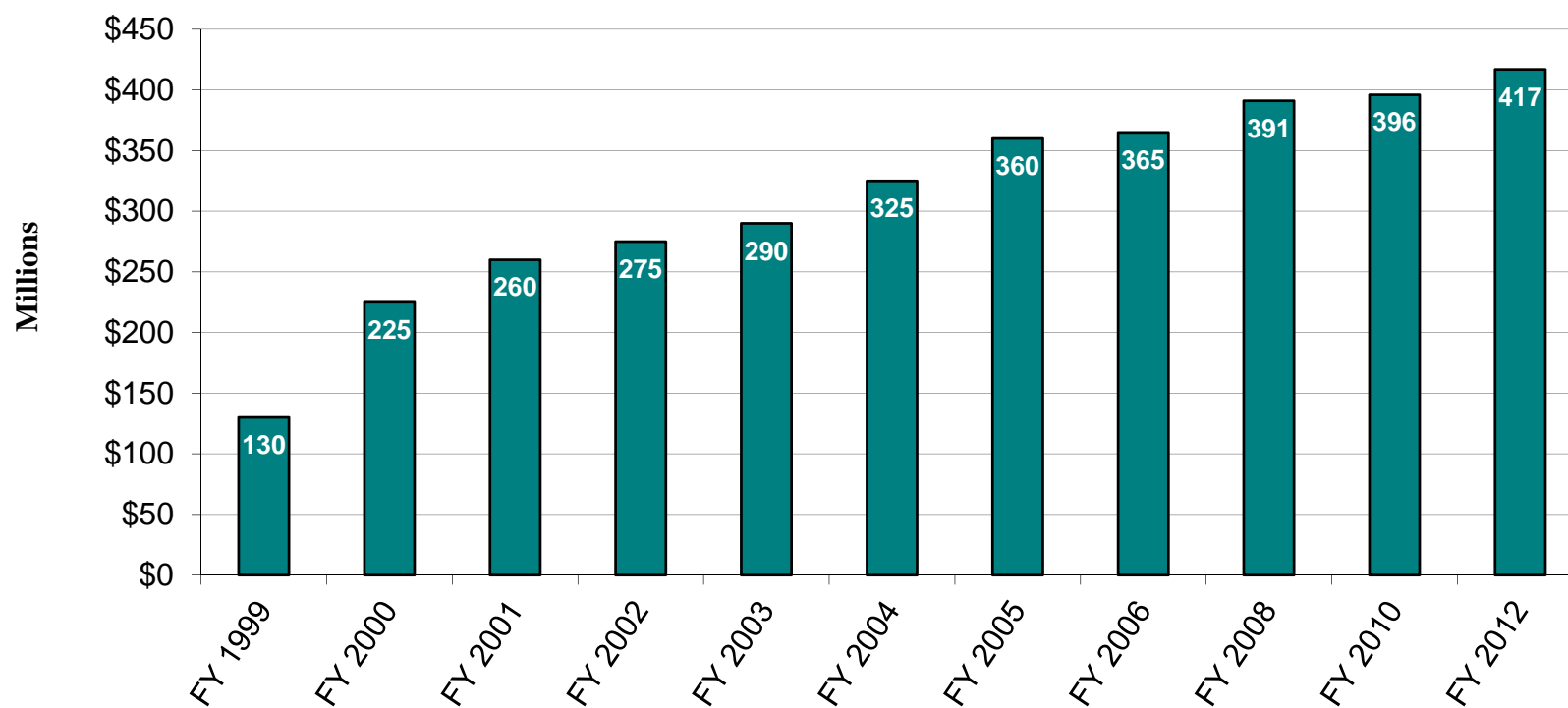


Distribution of State and Tribal Assistance Grant Funds (FY2012)



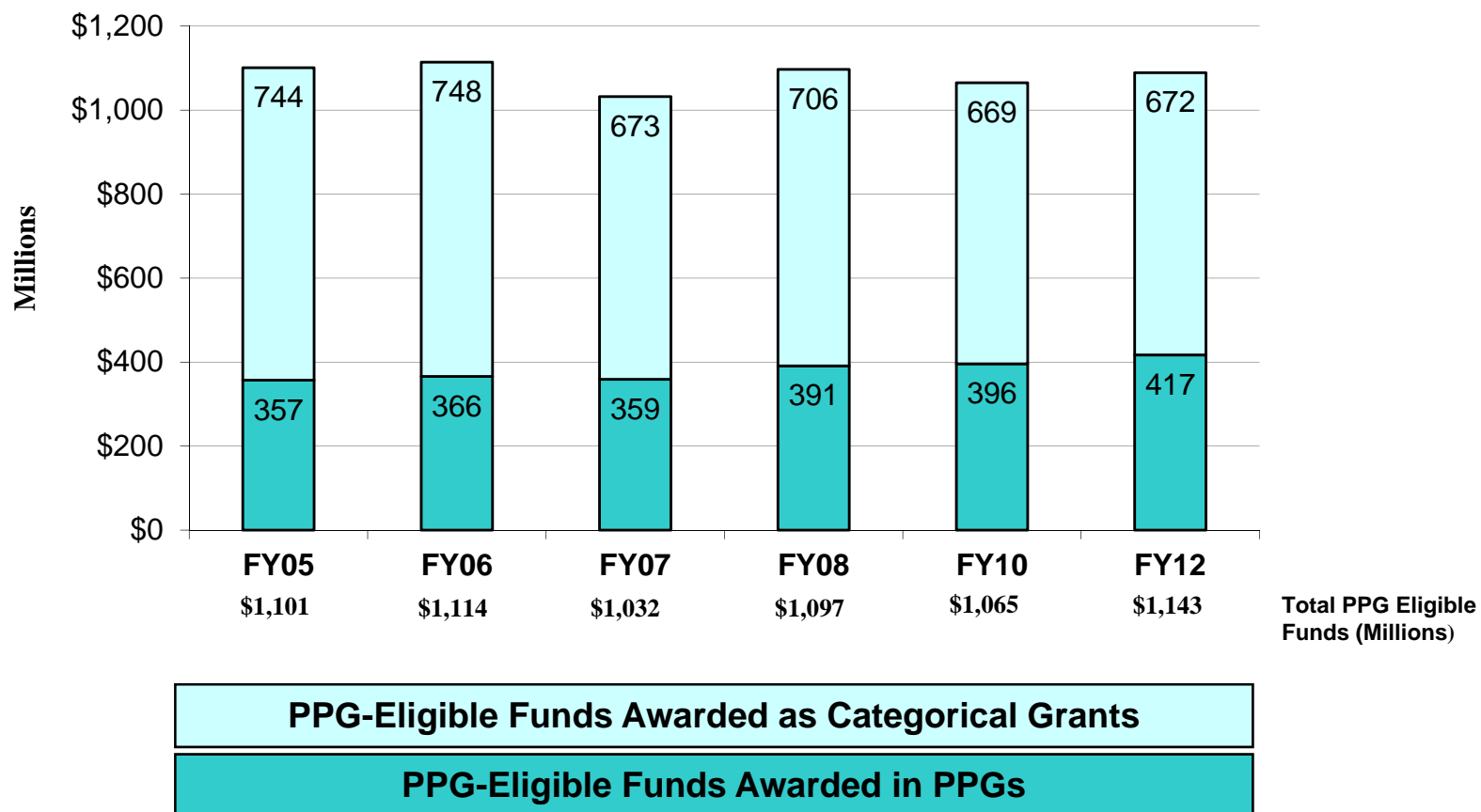


Performance Partnership Grant (PPG) Obligations



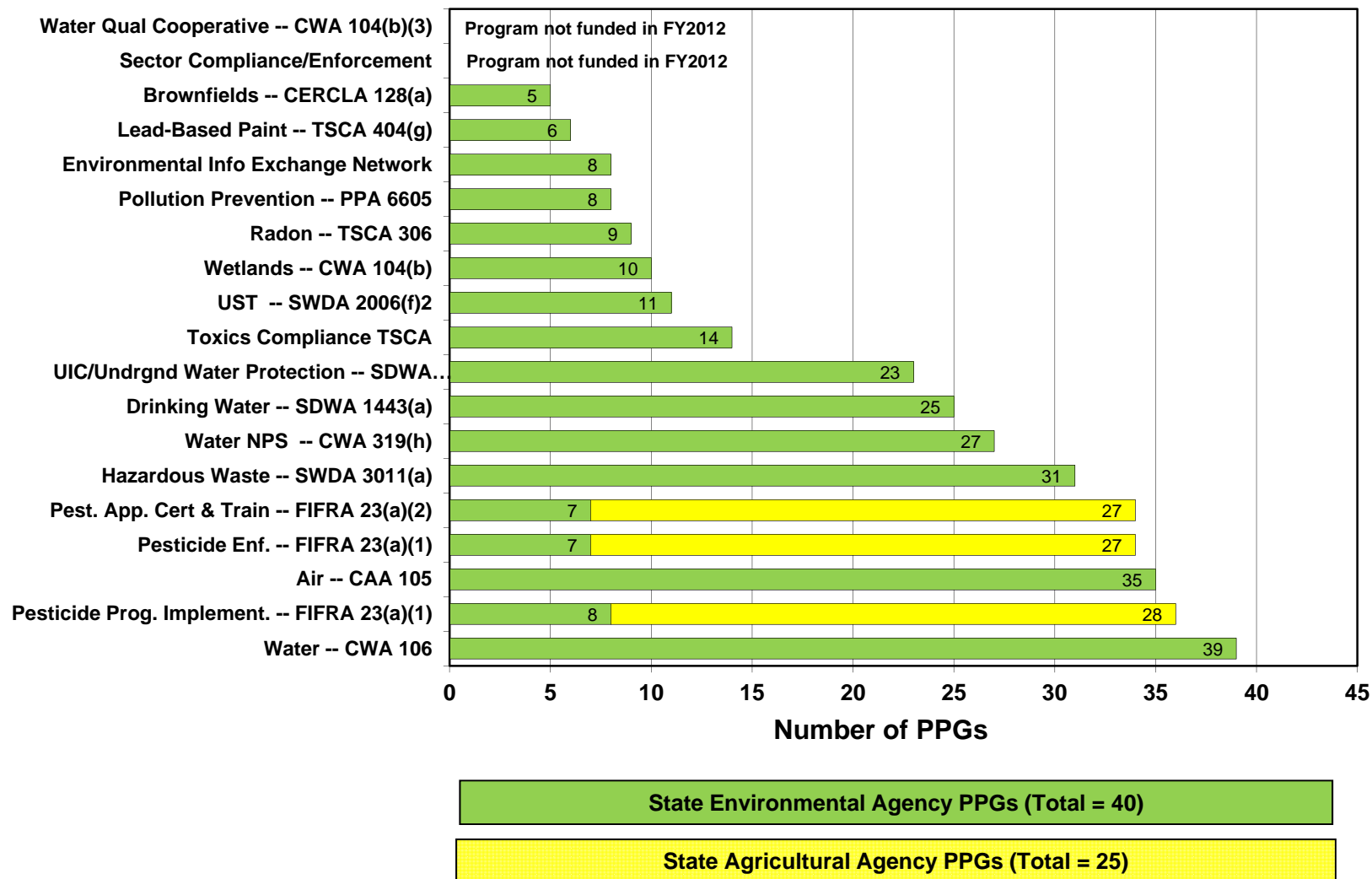


Grant Funds Awarded as PPGs and Categorical Grants



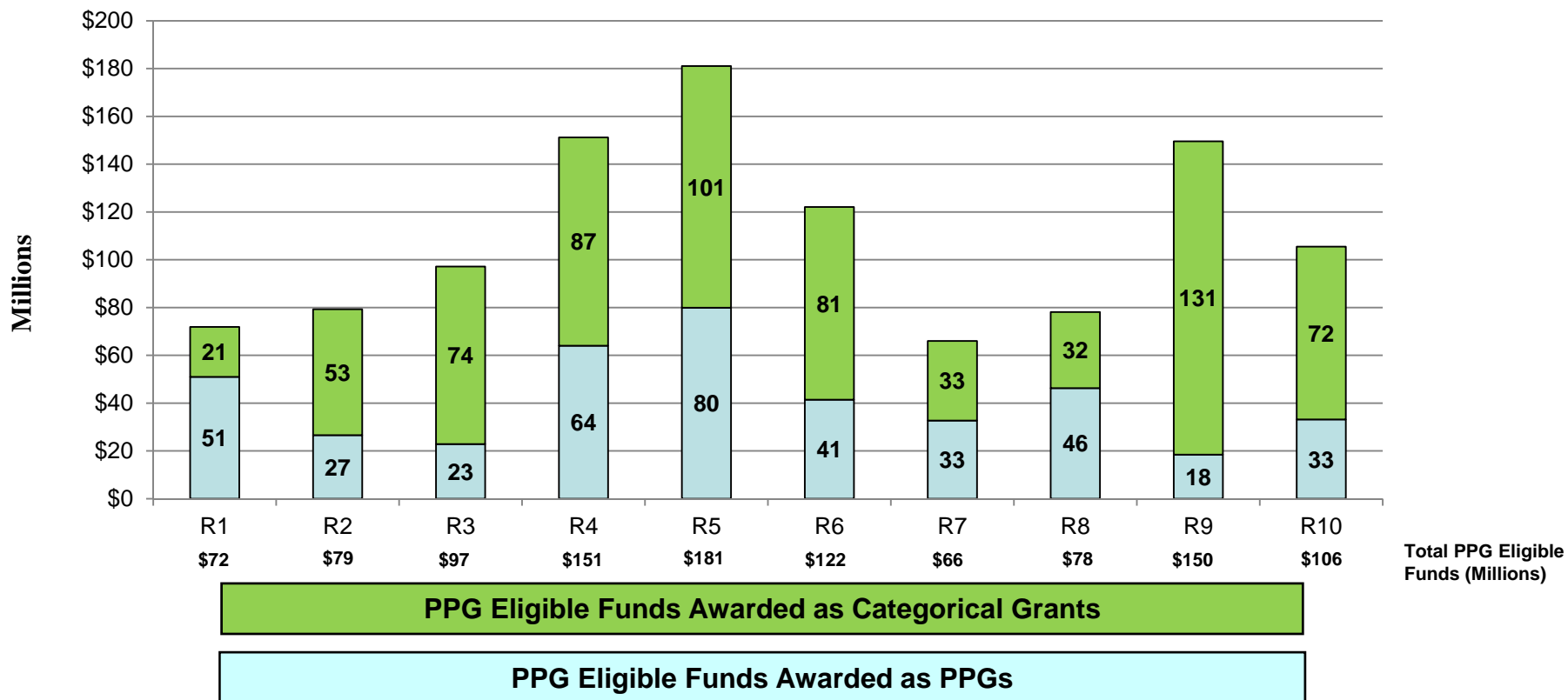


Categorical Grants Combined in PPGs, by Program (FY2012)



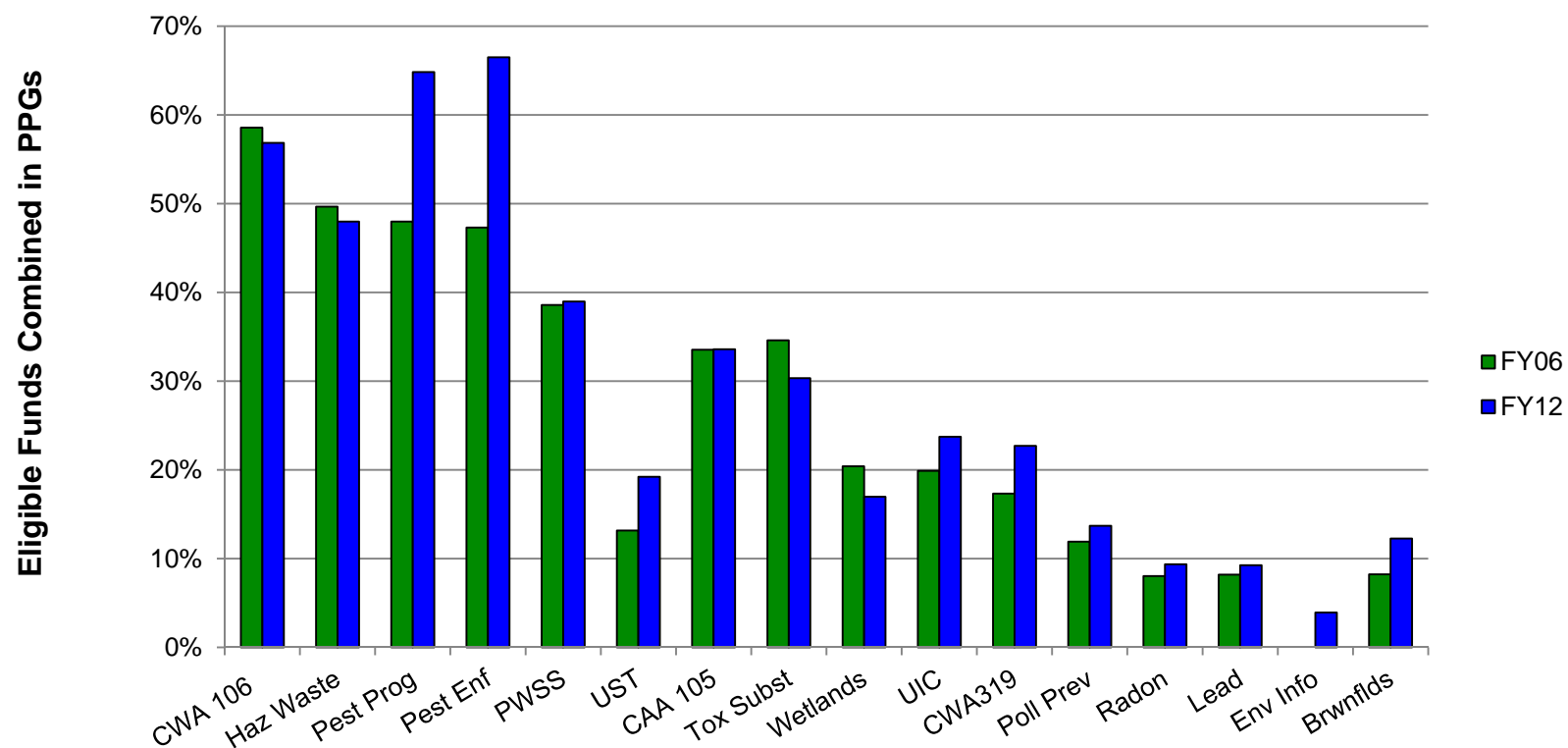


Grant Funds Awarded as PPGs and Categorical Grants by Region (FY2012)





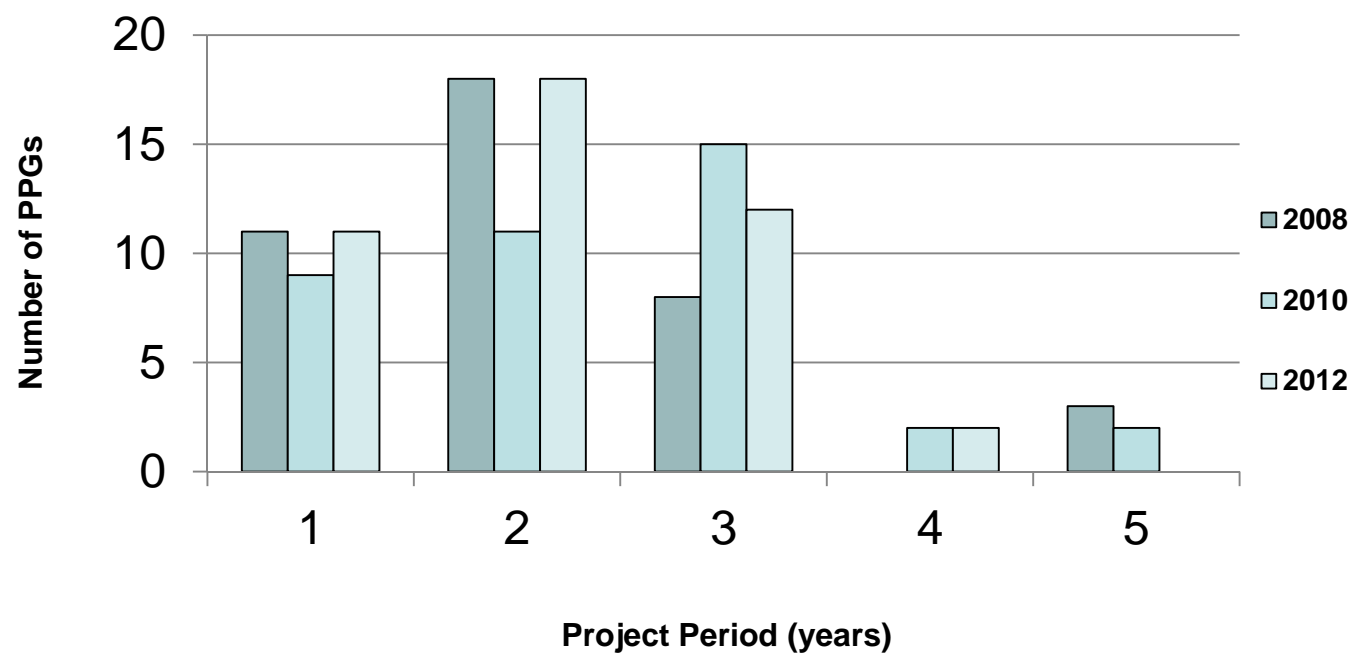
Categorical Grants Awarded as PPGs, by Program





PPG Project Periods

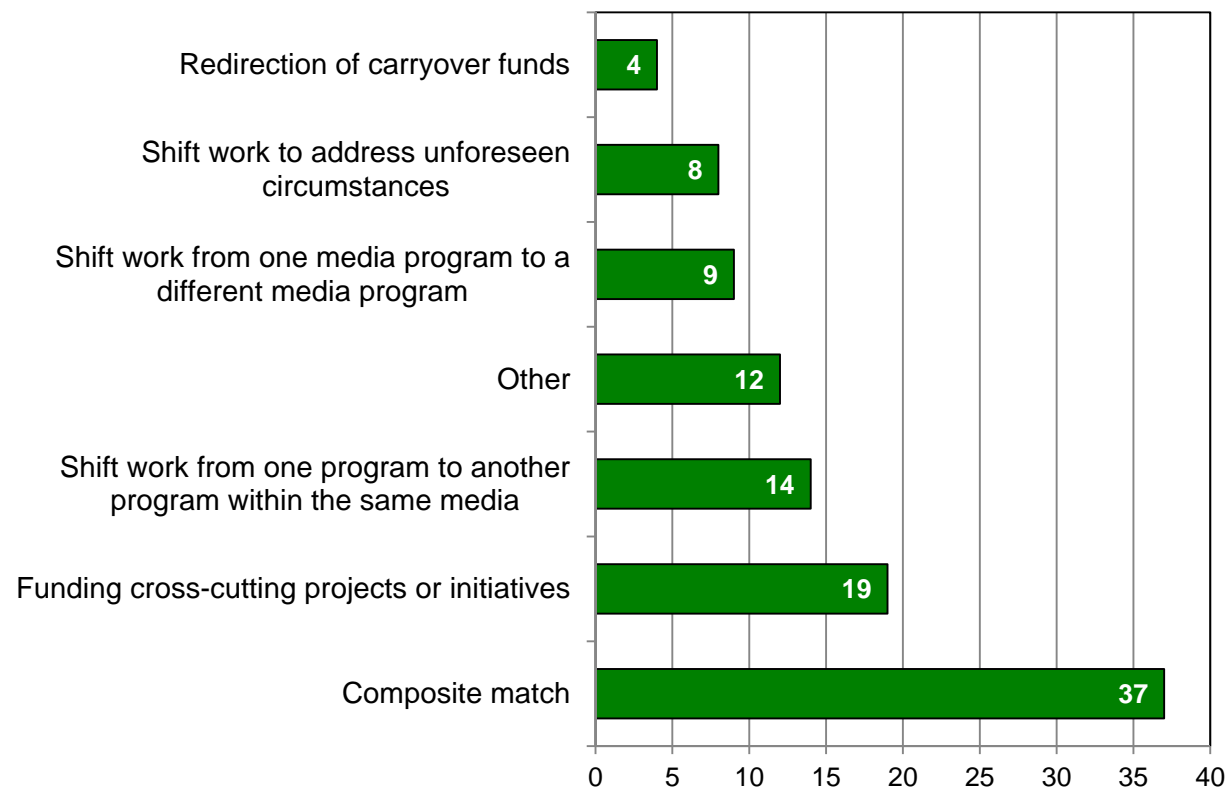
State Environmental Agencies (FY2012)



*The state of Alaska has separate PPGs for both air and water



Flexibility Used in PPGs State Environmental Agencies (FY2012)



Number of PPGs (Total = 42)



Documentation of Additional Joint Planning Results State Environmental Agencies (FY2012)

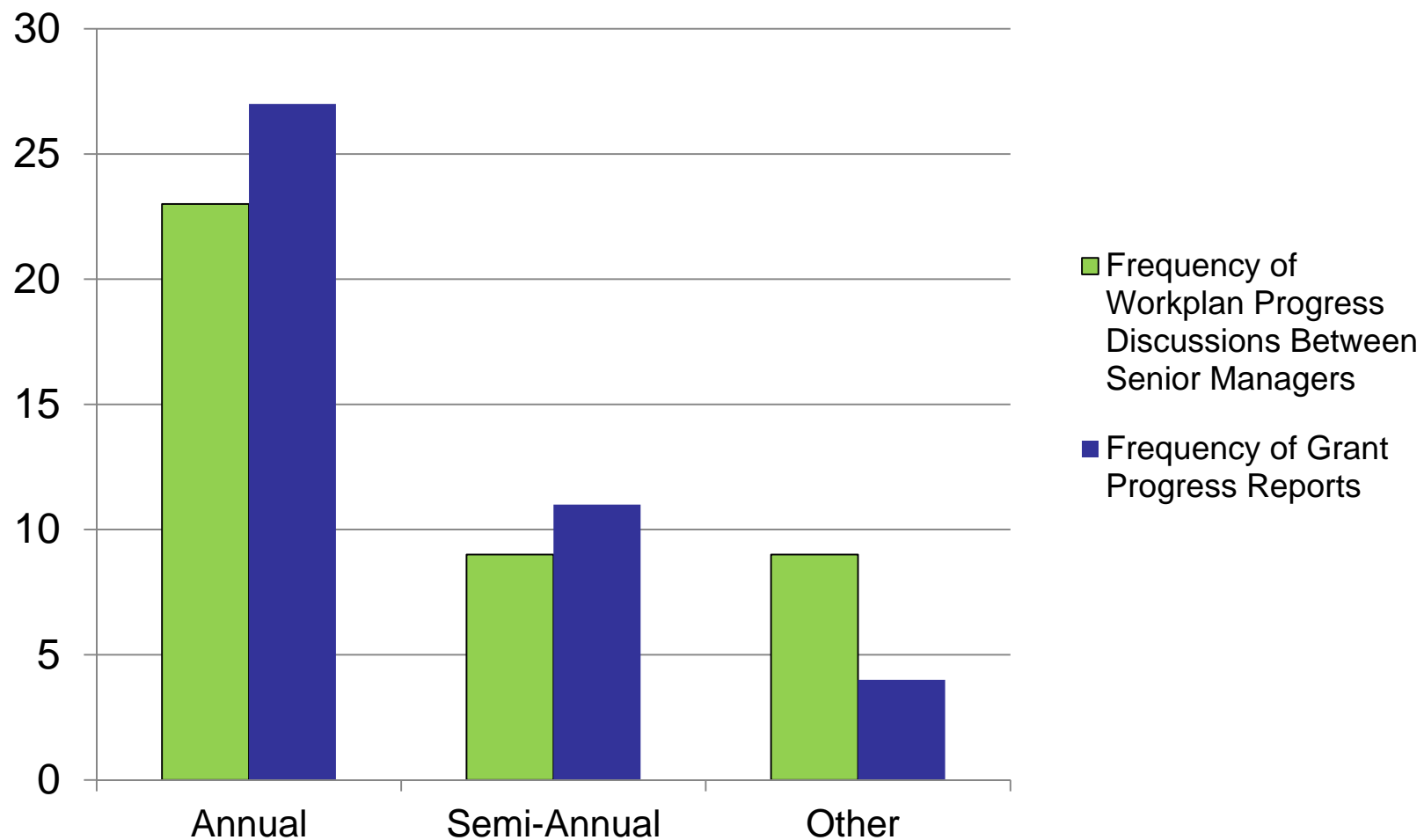
30 States engaged in joint planning/priority setting beyond the grant workplan negotiation process using the following methods:

- Performance Partnership Agreements (24 States)
- Memorandum of Agreement/Understanding (2 States)
- Other methods (16 States)
 - Notes/Action Items from meetings or calls (AZ, MT, CO, HI, MA, NH, NJ, NY, RI, UT)
 - Priorities and Commitments Lists (CT, MA, ME, NH, RI, VT)
 - Compliance and Assistance Plan (CT, RI)
 - Joint evaluation of PPA (WI)
 - Grant Review and Oversight Group reports (NY)
- Regions 1 and 8 conduct annual planning with multiple states



Grant Progress Reporting

State Environmental Agencies (FY2012)





Worksharing in PPAs State Environmental Agencies (FY2012)

13 of 30 PPAs discuss worksharing:

- EPA experts providing technical assistance to state staff (12 states)
- RCRA Corrective Action (3 states)
- Enforcement actions (8 states)
- Conducting inspections (10 states)
- Shared training (9 states)
- Permit writing (6 states)
- Monitoring (7 states)
- TMDL development (1 state)
- Intergovernmental Personnel Assignments (4 states)
- EPA laboratory services (1 state)



Regional Outreach to States Regarding PPAs and PPGs State Environmental Agencies (FY2011 and 2012)

Regions reported they reached out to 28 states in FYs 2011 and 2012 regarding PPAs & PPGs:

- Six states extended the duration of their PPGs to three years
- Two states added grants and two states considered adding grants to their PPGs
- One state negotiated its first PPG
- One state negotiated a more defined PPG workplan
- One territory negotiated worksharing in the area of enforcement